

**FACTS****WHAT DOES NEWEDGE CAPITAL GROUP DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and name/address
- financial information and investment objectives
- suitability information and investment experience

When you are *no longer* our customer, we continue to share your information as described in this notice.

**How?**

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons NewEdge chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does NewEdge share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
<b>For our marketing purposes—</b> to offer our products and services to you	YES	NO
<b>For joint marketing with other financial companies</b>	YES	YES
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	NO	N/A
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	YES	NO
<b>For nonaffiliates to market to you –</b> for clients with accounts established through independent representatives **	YES	YES

**Questions?**

Call (833) 993-9653 or go to [www.newedgecapitalgroup.com](http://www.newedgecapitalgroup.com)

**Who we are****Who is providing this notice?**

NewEdge Capital Group, LLC, NewEdge Securities, Inc, NewEdge Advisors, LLC, NewEdge Wealth LLC and LPA Insurance Agency, Linc. (for additional Companies see below).

## What we do

<p><b>How does NewEdge do protect my personal information?</b></p>	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p>
<p><b>How does NewEdge collect my personal information?</b></p>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>- open an account or transfer an account</li> <li>- update your account or transact in your account</li> <li>- or from your representative regarding your preferences.</li> <li>- Seek advice about your investments</li> <li>- Tell us about your investments or retirement portfolio</li> </ul>
<p><b>Why can't I limit all sharing?</b></p>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>- sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>- affiliates from using your information to market to you</li> <li>- sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>

## Definitions

<p><b>Affiliates</b></p>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>- <i>EdgeCo Holdings, Inc. Edgeco Buyer, Inc.; GUA/Uniontown Capital Group, Inc.; Mid Atlantic Trust Company; American Trust Company; AT Retirement Services, LLC; American TCS Technology, LLC; New Edge Wealth Holdings L.P., Mid Atlantic FinSource 1, LLC, and Mid Atlantic FinSource 2, LLC; AT Insurance, LLC</i></li> </ul>
<p><b>Nonaffiliates</b></p>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>- <i>Our nonaffiliated service providers are obligated to keep the personal information we share with them confidential and use it only to provide services specified by NewEdge.</i></li> </ul>
<p><b>Joint marketing</b></p>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>- <i>This may include banks and other financial institutions with which we have a joint marketing agreement.</i></li> </ul>

## Other important information

### Information for California, North Dakota, and Vermont Customers

*In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.*

\*\* Financial advisors may change brokerage and/or investment advisory firms, and the nonpublic personal information collected by us and your advisor may be provided to the new firm so that your advisor can continue to service your account(s). You may provide or withdraw your consent at any time by contacting us at (833) 993-9653.

NewEdge Securities, Inc., NewEdge Advisors, LLC, and NewEdge Wealth, LLC (collectively "NewEdge") are members of the Protocol for Broker Recruiting (Protocol). In the event your financial advisor terminates association with NewEdge and becomes associated with another brokerage or investment advisory firm, NewEdge will permit your financial advisor to take your name, address, phone number, email address, the account title of the accounts serviced, and any additional information approved by the Protocol while your financial advisor was associated with NewEdge to the new firm, if the new firm is also a member of the Protocol. The retention of this limited information by your financial advisor under the Protocol may occur even if you have exercised your rights to limit the sharing of your personal information as described above.